

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	CHAMBLISS et al.	Examiner:	Unassigned
Serial No.:	Unassigned	Group Art Unit:	Unassigned
Filed:	June 25, 2003	Docket No.:	SJO920030023US1 (IBMS.005PA)

Title: METHOD, APPARATUS AND PROGRAM STORAGE DEVICE FOR  
PROVIDING A TWO-STEP COMMUNICATION SCHEME

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CERTIFICATE UNDER 37 CFR 1.10

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I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail  
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Alexandria, VA 22313-1450.

By:   
Name: Kathleen McDevitt

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted either within three months of the filing date or before the first Office Action of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

Please note that any notations or markings on the attached documents do not reflect particular relevance, or lack thereof, to the present application, nor were they necessarily made by anyone affiliated with the prosecution of the present application.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended. Also, while publication dates have been listed on the accompanying PTO-1449 for documents obtained via the Internet, the Applicant does not attest to, nor admit to, the accuracy of these dates, as the actual publication date may be unknown to Applicant.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Crawford Maunu PLLC  
1270 Northland Drive  
Suite 390  
St. Paul, MN 55120  
651/686-6633

Dated: June 25, 2003

By: 

David W. Lynch  
Reg. No. 36,204

<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>   <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: SJO920030023US1 IBMS.005PA	Application Number: Unassigned
	Applicant: Chambliss <i>et al.</i>	
	Filing Date: 6/25/2003	Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,617,570	04/01/1997	Russell et al.				
	5,682,534	10/28/1997	Kapoor et al.				
	5,751,638	05/12/1998	Mick et al.				
	5,970,510	10/19/1999	Sher et al.				
	6,041,379	03/21/2000	Sher				
	6,338,146	01/08/2002	Johnson et al.				
	6,345,327	02/05/2002	Baskey et al.				
	6,356,949	03/12/2002	Katsandres et al.				
	US 2002/0078285 A1	06/20/2002	Hofstee et al.				
	US 2002/0194324 A1	12/19/2002	Guha				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		M. Schoettner, O. Marquardt, M. Wende, and P. Schulthess, "Type Evolution and Version Management in a Persistent Distributed Operating System," August 2001, (119-124), Software Engineering and Applications, Proceedings of the Fifth IASTED International Conference, August 2001.					
		M. Stumm and Songnian Zhou, "Fault Tolerant Distributed Shared Memory Algorithms," December 1990, ( 719-724), Proceedings of the Second IEEE Symposium on Parallel and Distributed Processing, 1990., 9-13 December 1990.					
		"Non-Blocking Distributed Bus Switch for Multicomputer Systems," Research Disclosure, July 1998, pp.1003-1004.					

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	